

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
TIC-0072TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known see 37 CFR 1.5)

10/509351

INTERNATIONAL APPLICATION NO.
PCT/JP2003/133311INTERNATIONAL FILING DATE
17 October 2003 (17.10.2003)PRIORITY DATE CLAIMED
17 October 2002 (17.10.2002)

TITLE OF INVENTION

DEVICE AND METHOD FOR PURIFYING EXHAUST FROM INDUSTRIAL VEHICLE ENGINE

APPLICANT(S) FOR DO/EO/US Masaya NAKAMURA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - Copy of Form PCT/IB/308 (NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES),
 - Statement of Accuracy,
 - Copy of International Search Report,
 - Return postcard.

EL 975571332US

EXPRESS MAIL Mailing Label No.: EL 975571332 US
Date of Deposit: September 28, 2004

U.S. APPLICATION NO. (if known 37 CFR 1.53) <div style="font-size: 2em; font-weight: bold; position: absolute; top: -20px; left: 50px;">10/509351</div> INTERNATIONAL APPLICATION NO. PCT/JP2003/13331		ATTORNEY DOCKET NUMBER TIC-0072	
21. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,080.00 International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> CALCULATIONS PTO USE ONLY </div>	
<div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ 920.00 </div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ </div>	
Claims	Number Filed	Number Extra	Rate
Total claims	22 - 20 =	2	X \$18.00
Independent Claims	2 - 3 =	0	x \$86.00
Multiple dependent claims(s) (if applicable)			+ \$290.00
TOTAL OF ABOVE CALCULATIONS =		\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			
SUBTOTAL =		\$956.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ </div>	
TOTAL NATIONAL FEE =		\$ 956.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> + </div>	
TOTAL FEES ENCLOSED =		\$ 956.00	
		Amount to be:	
		refunded	
		charged	
a. <input checked="" type="checkbox"/> A check in the amount of \$956.00 to cover the above fee is enclosed.		<div style="border-bottom: 1px solid black; padding-bottom: 5px;"> \$ </div>	
b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Michael P. Dunnam Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100		<div style="text-align: center;"> <div style="border-bottom: 1px solid black; width: 100%;"></div> SIGNATURE </div> <div style="text-align: center; margin-top: 20px;"> Michael P. Dunnam <div style="border-bottom: 1px solid black; width: 100%;"></div> NAME </div> <div style="text-align: center; margin-top: 20px;"> 32,611 <div style="border-bottom: 1px solid black; width: 100%;"></div> REGISTRATION NUMBER </div>	